

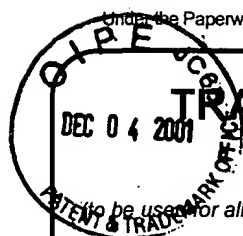
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/966,269		
	<b>Filing Date</b>	October 1, 2001	
	<b>First Named Inventor</b>	Takashi SASAKI	
	<b>Group Art Unit</b>	TBA	
	<b>Examiner Name</b>	TBA	
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	001458.00014

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Response to Notice to File Corrected Application Papers; Notice to File Corrected Application Papers (Return Copy); Substitute Specification</b>
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Thomas L. Peterson, Registration No. 30,969
<b>Signature</b>	
<b>Date</b>	December 4, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
<b>Typed or printed name</b>			
<b>Signature</b>		<b>Date</b>	12-4-01

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## UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,269	10/01/2001	Takashi Sasaki	01458.00014

CONFIRMATION NO. 5401 #12

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

## FORMALITIES LETTER



\*OC000000006966074\*

Date Mailed: 10/24/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

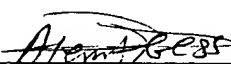
*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

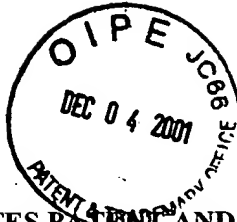
*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/24/2001 10:00 AM



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
 )  
Takashi SASAKI et al )  
 )  
Serial No. 09/966,269 )  
 )  
Filed: October 1, 2001 )  
 )  
For: PROCESS FOR PRODUCING )  
POLYMERIC FILMS HAVING )  
SHAPE-MEMORIZING PROPERTIES )

BOX: MISSING PARTS  
Group Art Unit: TBA  
Examiner: TBA  
Attorney Docket No. 001458.00014

**RESPONSE TO NOTICE TO FILE**  
**CORRECTED APPLICATION PAPERS**


Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers dated October 24, 2001,  
Applicants are hereby submitting a substitute specification that is in compliance with 37 CFR 1.52,  
correcting the specifications improper margins. Accordingly, it is respectfully requested that a filing date  
of October 1, 2001, be granted to this application which is the filing date of the original specification that  
were filed with the U.S. Patent and Trademark Office.

Respectfully submitted,

Dated: October 30, 2001

By:   
Thomas L. Peterson  
Registration No. 30,969

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